

Registration form – Back Office Personnel NASDAQ OMX Derivatives Markets

Member Firm:.....

Applicant's name:

Date of birth:

Telephone number:

E-mail:

Date of completed SECUR™ training course (including examination):

In accordance with the Rules and Regulations of NASDAQ OMX Derivatives Markets, the Member is responsible for ensuring that its Back Office Personnel possesses the following qualifications and training:

- Have completed a course arranged by the Exchange and successfully completed an examination arranged for participation in the Clearing System
- Possess general knowledge of NASDAQ OMX Derivatives Markets derivative products

It is hereby confirmed that the above-mentioned person possesses the qualification and training required according to the Rules and Regulations of NASDAQ OMX Derivatives Markets.

.....
Date

.....
Signature (Head of Back Office/Compliance)

.....
Signature (Back Office Personnel)

.....
Name in print

Valid from: (Filled in by NASDAQ OMX Derivatives Markets)

Please submit this form to, NASDAQ OMX Derivatives Markets via fax on + 46 8 723 10 91

Personal data which the trader submits in connection herewith is dealt with by NASDAQ OMX Derivatives Market within NASDAQ OMX for the purposes of the preparation and administration of the mandate. Personal data will only be used for administrative reasons and to inform the trader about NASDAQ OMX Derivatives Markets' activities. If a trader wishes to receive information on the personal data relating to him or her which is held by NASDAQ OMX Derivatives Markets, the trader can request such information by writing to the following address: NASDAQ OMX Derivatives Markets, 105 78 Stockholm, Sweden. If a trader wishes to correct inaccurate or misleading information, he/she can contact NASDAQ OMX Derivatives Markets on the above address.