

# PRE-TRADE RISK MANAGEMENT

**CONTROL YOUR RISK** from Order Entry to Execution with NASDAQ OMX Nordic Pre-trade Risk Management (PRM).

## BENEFITS AND FEATURES

NASDAQ OMX Nordic PRM service provides member firms with ability to facilitate pre-trade protection on INET Nordic. Using PRM, firms can have order level control of their trading activity and the trading activity of their clients and customers including prevention of potentially erroneous transactions.

PRM validates orders entered via OUCH and FIX prior to allowing them into the matching engine. PRM is flexible, using a set of parameters to determine if the order should be allowed into the market.

### Key benefits:

- **Speed.** PRM is fast, adding virtually no latency to order acknowledgement time.
- **Accuracy.** PRM functionality reads real-time price information to ensure the most accurate information.
- **Flexibility.** PRM is flexible and manages protection on one or many accounts and cross protocols.

## PRM PROTECTION IN BRIEF

### Fat finger Price Check

Fat Finger Price Check compares price instructions on incoming aggressive orders against the current last sale. If the order deviate more than an order book configured parameter (%), the order will be rejected before it can execute.

### Max Quantity Check

Max Quantity Check provides users the ability to check order volume against a pre-set value.

### Max Value Check

Maximum Value Check controls the maximum order value allowed on a per order basis.

### Accumulated Value Checks

Accumulates the order value throughout the current trading day. Once volume hits the maximum configured value, new orders sent will be rejected. Multiple accumulation checks are available.

### Restricted Symbol List Check

Restricted Symbol List Check allows users to prevent trading on certain symbols. Any orders on symbols that are on the restricted list will be rejected.

### PRM Administration Interface

Easy to use PRM Administration interface, available from the Nordic Workstation. It provides direct control of your PRM account configuration, including the ability to perform emergency actions.

## PRM PROTECTION IN DETAIL

- For detailed information about the PRM service and pricing: [http://nordic.nasdaqomxtrader.com/trading/equities/pre\\_trade\\_risk\\_management/](http://nordic.nasdaqomxtrader.com/trading/equities/pre_trade_risk_management/)

### More Information

For more information please contact your **Key Account Manager**.

For Subscription or change of the service please advice our Member Services, + 46 8 405 6660, [memberservices@nasdaqomx.com](mailto:memberservices@nasdaqomx.com).