

**RULES AND REGULATIONS  
OF NASDAQ OMX DERIVATIVES MARKETS**

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Series listed by the Exchange as set forth in the Exchange's clearing system.

The following assets constitute Contract Base in Series listed by the Exchange:

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**EQUITIES**

Exchange- and clearing listing

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**1.1 SWEDISH EQUITIES (SEax) – STOCKS AND DEPOSITORY RECEIPTS LISTED IN SEK**

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In the following categories of shares call and put Options, Term and Expiration Months  
Forwards and Futures are listed

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Eniro (ENRO)	MTG B (MTGB)	3 months: All months
Getinge (GETIB)	Oriflame SDB (ORI)	12 months: Mar, June, Sept, Dec
Holmen B (HOLMB)	PA Resources (PAR)	
Kinnevik B (KINB)	Stora Enso R (STER)	
Lundin Mining (LUMI)	Swedish Match (SWMA)	
Meda A (MEDA)	Tietoenator (TIEN)	
Millicom SDB (MIC)		

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ABB (ABB)	Sandvik (SAND)	3 months: All months
Alfa Laval (ALFA)	SCA B (SCAB)	12 months: Mar, June, Sept, Dec
Assa Abloy (ASSAB)	Scania B (SCVB)	24 months: Jan
AstraZeneca (AZN)	SEB A (SEBA)	
Atlas Copco A (ATCOA)	Securitas B (SECUB)	
Autoliv SDB (ALIV)	SHB A (SHBA)	
Boliden AB (BOLI)	SKF B (SKFB)	
Electroux B (ELUXB)	Skanska B (SKAB)	
Hennes & Mauritz B (HMB)	SSAB A (SSABA)	
Husqvarna B (HUSQB)	Swedbank (SWEDA)	
Investor B (INVEB)	Tele2 B (TEL2B)	
Lundin Petroleum (LUPE)	Trelleborg B (TRELB)	
Nordea (NDA)	Volvo B (VOLVB)	

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Ericsson B (ERICB)	3 months: All months
Nokia (NOKI)	12 months: Mar, June, Sept, Dec
TeliaSonera (TLSN)	36 months: Jan

Normal exercise price interval for categories of shares call and put Options (SEK)	< 6 months term (SEK)	> 6 months term (SEK)
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0 – 6	0.5	1
6 – 30	1	2
30 – 50	2	4
50 - 70	2.5	10
70 – 150	5	10
150 – 310	10	20
310 – 510	20	40
510 –	30	60

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1.2 FINNISH EQUITIES (Flax) – STOCKS AND DEPOSITORY RECEIPTS LISTED IN EUR

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Group 1

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In the following categories of shares call and put Options and Forwards are listed	Term and Expiration Months
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Huhtamäki (HUH1V3)	3 months: Jan, Feb, Apr, May, July, Aug, Oct, Nov
Kemira (KRA1V3)	6 months: Mar, June, Sep, Dec
M-real B (MRLBV3)	
Metso (MEO1V3)	
Nokian Tyres (NRE1V3)	
Nordea FDR (NDA1V3)	
Outokumpu (OUT1V3)	
Rautaruukki (RTRKS3)	
YIT-Yhtymä (YTY1V3)	

Additional options and futures series on the stock classes in Group 1 are listed on request (i.e. not regularly) up to a term of 24 months as apparent from the series designation.

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Group 2

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In the following categories of shares Forwards are listed	Term and Expiration Months
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Elisa (ELI1V3)	3 months: Jan, Feb, Apr, May, July, Aug, Oct, Nov
Fortum (FUM1V3)	6 months: Mar, June, Sep, Dec
Neste Oil (NES1V3) *	
Nokia (NOK1V3)	
Sampo A (SAMAS3)	
Stora Enso R (STERV3)	
TeliaSonera (TLS1V3)	
TietoEnator (TIE1V3)	
UPM-Kymmene (UPM1V3)	

Additional futures series on the stock classes in Group 2 are listed on request (i.e. not regularly) up to a term of 24 months as apparent from the series designation.

\* = Single Stock Futures on this stock class are only available on-request.

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Group 3

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In the following categories of shares call and put Options or Forwards are listed on request with a Term of up to 24 months as apparent from the series designation. New underlying instruments will be listed on request after liquidity consideration in the respective stock class.

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AffectoGenimap (AFE1V3)	Proha (ART1V3)
Aldata Solution (ALD1V3)	Pöyry (POY1V3)
Amer Sports (AMEAS3)	Raisio Yhtym Vaihto-osake (RAIVV3)
CapMan B (CPMBV3)	Ramirent (RMR1V3)
Cargotec B (CGCBV3)	Revenio Group (REG1V3)
Citycon (CTY1S3)	Ruukki Group (RUG1V3)
Comptel (CTL1V3)	Sanoma (SAA1V3)
Cramo (CRA1V3)	Scanfil (SCF1V3)
Efore (EFO1V3)	Sponda (SDA1V3)
Elcoteq A (ELQAV3)	Stonesoft (SFT1V3)
F-Secure (FSC1V3)	Stockmann B (STCBV3)
Fiskars A (FIS1V3)	Digia Oyj (DIG1V3)
Finnlines (FLG1S3)	Talentum (TTM1V3)
HK Ruokatalo Group A (HKSAV3)	Talvivaara Mining Company (TLV1V3)
Ixonos (XNS1V3)	Technopolis (TPS1V3)
Kesko B (KESBV3)	Tecnomen (TEM1V3)
Kone Corp (KNEBV3)	Tekla (TLA1V3)
Konecranes (KCR1V3)	Teleste (TLT1V3)
Lassila&Tikanoja (LAT1V3)	Tiimari (TII1V3)
Lemminkäinen (LEM1S3)	Tikkurila (TIK1V3)
Oriola-KD B (OKDBV3)	Trainers House (TRH1V3)
Outotec (OTE1V3)	Uponor (UNR1V3)
PKC Group (PKC1V3)	Wärtsilä (WRT1V3)
Pohjola Bank Plc. (POH1S3)	

Normal exercise price interval for Group 1 and Group 3

Exercise price (EUR)	< 6 months term Interval (EUR)	> 6 months term Interval (EUR)
0 - 0.6	0.05	0.1
0.6 – 3	0.1	0.2
3 – 5	0.2	0.4
5 – 20	0.5	1
20 – 38	1	2
38 – 50	2	4
50 -	5	10

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**1.3 DANISH EQUITIES (DKax) – STOCKS LISTED IN DKK**

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In the following categories of shares call and put Options and Futures are listed	Term and Expiration Months
Carlsberg B (CARLB)	3 months: Jan, Feb, Apr, May, Jul, Aug,
Danisco (DCO)	Oct, Nov
Danske Bank (DANSKE)	12 months: Mar, Jun, Sep, Dec
DSV (DSV)	
D/S Norden (DNORD)	
FL Smidth & Co (FLS)	
GN Store Nord (GN)	
H. Lundbeck (LUN)	
Novo Nordisk B (NOVOB)	
Novozymes B (NZYMB)	
Pandora (PNDORA)	
Vestas Wind Systems (VWS)	
TDC (TDC)	
Tryg (TRYG)	

In the following categories of shares call and put Options and Futures are listed with <u>only one (1)</u> <u>underlying Contract Share</u>	Term and Expiration Months
A.P. Møller-Mærsk B (MAERSK)	3 months: Jan, Feb, Apr, May, Jul, Aug, Oct, Nov 12 months: Mar, Jun, Sep, Dec

Exercise Price intervals:	Exercise price (DKK)	Interval (DKK)
	0 - 20	2
	20 – 200	5
	200 – 1,000	10
	1,000 – 2,000	50
	2,000 – 5,000	100
	5,000 – 10,000	200
	10,000 – 30,000	500
	30,000 -	1,000

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**1.4 NASDAQ OMX NORWEGIAN EQUITIES (NNOax) – STOCKS LISTED IN NOK**

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**Group 1**

In the following category of shares call and put Options, Forwards and Futures are listed      Term and Expiration Months

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Acergy SA (ACYN)	3 months: Jan, Feb, Apr, May, Jul, Aug, Oct, Nov
DnB NOR ASA (DNBNON)	12 months: Mar, Jun, Sep, Dec
Gjensidige Forsikring ASA (GJFN**)	
Marine Harvest ASA (MHGN)	
Norsk Hydro ASA (NHYN)	
Norske Skogindustrier ASA (NSGN)	
Orkla ASA (ORKN)	
Petroleum Geo-Services ASA (PGSN)	
Renewable Energy Corp ASA (RECN)	
Royal Caribbean Cruises Ltd (RCLN)	
Seadrill Ltd (SDRLN*)	
Statoil ASA (STLN)	
Statoil Fuel & Retail (SFRN**)	
Storebrand ASA (STBN)	
Telenor ASA (TELN)	
Yara International ASA (YARN)	

\* 100% dividend adjusted

\*\* only Options and Forwards

Exercise Prices:	Exercise price (NOK)	Interval (NOK)
	0 - 10	0.25
	10 – 20	1
	20 – 50	3
	50 – 150	5
	150 – 260	10
	260 – 600	20
	600-	30

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**1.5 NASDAQ OMX RUSSIAN EQUITIES (NRUax) – DEPOSITORY RECEIPTS LISTED IN USD**

In the following category of shares Options Term and Expiration Months  
and Futures are listed

Gazprom (OGZDN)	3 months: Jan, Feb, Apr, May, Jul, Aug, Oct, Nov
Lukoil (LKODN)	6 months: Mar, Sep
Norilsk Nickel (MNODN)	12 months: Jun, Dec
Rosneft (ROSNN)	
Surgutneftegaz (SGGDN)	

Normal exercise price interval for categories of shares call and put Options:	Exercise Price (USD)	Interval (USD)
	0.01 – 5	0.10
	5 – 10	0.25
	10 – 15	0.50
	15 – 30	1.00
	30 – 100	2.00
	100 – 200	5.00
	200 – 300	10
	300 +	20

**1.6 RUSSIAN EQUITIES – INDEX (NORUX15)**

In the Click Trading System and SECUR Clearing System the product is called NORUX.

**Expiration months**

	6 months	12 months
Call and Put Options, Futures Contracts	MAR, SEP	JUN, DEC

**Normal exercise price interval for categories of shares call and put Options:**

Exercise Price (USD)	Intervall (USD)
0 - 1 000	10
1 000 -	20

**1.7 SWEDISH EQUITIES – INDEX (OMXS30)**

**Expiration Months**

	3 months	12 months	36 months
Call and Put Options, Futures Contracts	FEB, APR, MAY, JUL, AUG, OCT, NOV	MAR, JUN, SEP, DEC	JAN

**Normal exercise price interval for categories of shares call and put Options:**

Exercise Price (SEK)	Interval for Option contracts with a Term less than 6 months	Interval for Option contracts with a Term more than 6 months
0 – 1 000	10	20
1 000 -	20	40

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Start value: 125 (30/9 1986, Split 4:1 27/4)

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**1.8 SWEDISH EQUITIES - INDEX (OMXSB)**

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**Expiration months**

	6 months	24 months
Futures Contracts	MAR, JUN, SEP	DEC

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**1.9 NASDAQ OMX NORWEGIAN EQUITIES - INDEX (OMXO20)**

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**Expiration months**

Call and Put Options, Futures Contracts

3 months	6 months	24 months
JAN, FEB, APR, MAY, JUL, AUG, OCT, NOV	MAR, JUN, SEP	DEC

**Normal exercise price interval for categories  
of shares call and put Options:**

Exercise Price (NOK)	Intervall (NOK)
0 – 150	3
150-500	5
500-1000	10
1000-	20

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**1.10 DANISH EQUITIES - INDEX (OMXC20)**

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**Expiration months**

	3 months	9 Months
Call and Put Options, Futures Contracts	JAN, FEB, APR, MAY, JUL, AUG, OCT, NOV	MAR, JUN, SEP, DEC

**Normal exercise price interval for categories  
of shares call and put Options:**

Exercise Price (DKK)	Interval (DKK)
0 – 500	5
500 - 1000 -	10
1 000 -	20
Start value: 3 juli 1989: 100	

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**1.11 NORDIC EQUITIES - INDEX (VINX30)**

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**Expiration months**

	3 months
Call and Put Options, Futures Contracts	All months

**Normal exercise price interval for categories**

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**of shares call and put Options:**

Exercise Price (EUR)	Interval (EUR)
0 - 1 000	10
1000 -	20

Start value: 100 (29/12, 2000)

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**1.12 NORDIC EQUITIES – SECTOR INDEX**

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Futures Contracts are listed on the following indexes:

Name*	Complete index name	Index type
BANK	NASDAQ OMX Nordic Bank & Insurance (NOMXNBI)	Price index
CONSTR	NASDAQ OMX Nordic Construction & Real Estate (NOMXNCR)	Price index
CONSUM	NASDAQ OMX Nordic Consumer (NOMXNCO)	Price index
ENERGY	NASDAQ OMX Nordic Energy (NOMXNEN)	Price index
HEALTH	NASDAQ OMX Nordic Health Care (NOMXNHC)	Price index
INDUST	NASDAQ OMX Nordic Industrials (NOMXNIN)	Price index
INVEST	NASDAQ OMX Nordic Investment Companies (NOMXNIC)	Price index
MTRLS	NASDAQ OMX Nordic Materials (NOMXNMA)	Price index
TELEIT	NASDAQ OMX Nordic Telecom & InfoTech (NOMXNTI)	Price index
TRSPRT	NASDAQ OMX Nordic Transportation (NOMXNTP)	Price index

\* = name in the trading and clearing system

**Expiration months**

	12 months	3 months
Futures Contracts	MAR, JUN, SEP, DEC	All other months

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**1.13 BALTIC EQUITIES- INDEX (OMXB10)**

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**Expiration months**

	9 months
Futures Contracts	MAR, JUN, SEP, DEC
Start value: : 100 (December 31, 1999)	

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**1.14 TM (NON-STANDARDISED PRODUCTS)**

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TM Contracts are Registered following acceptance of application for Registration.

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**1.15 BINARY OPTIONS, OVER UNDER - STOCKS AND INDEX LISTED IN SEK**

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**Group 1**

In the following categories of instruments OverUnder are listed with a Term of three months

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Ericsson B (ERICB)  
Nokia (NOKIA)  
TeliaSonera (TLSN)  
OMXS30 index (OMXS30)  
Hennes & Mauritz B (HMB)

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**Expiration months**

	3 months
Group 1	All months

**Exercise price intervals**

Same as for standard options on the underlying instrument in question

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2. CREDIT MARKETS INSTRUMENTS (OMR)

Clearing listing

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TM Contracts are Registered following acceptance of application for Registration.

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R 2 (2-year Government Bond)

R 5 (5-year Government Bond)

R 10 (10-year Government Bond)

Term:	6 months
Expiration months:	MAR, JUN, SEP, DEC

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NBHYP5 (5-year Nordbanken Hypotek Bond)

NBHYP2 (2-year Nordbanken Hypotek Bond)

SB5 (5-year SBAB Bond)

SPA 5 (5-year Spintab Bond)

SPA 2 (2-year Spintab Bond)

ST 5 (5-year Caisse- or Stadshypotek Bond)

ST 2 (2-year Caisse- or Stadshypotek Bond)

Term:	3 months
Expiration months:	MAR, JUN, SEP, DEC

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STIBOR-FRA (90-day deposit rates)

Term:	36 months
Expiration months:	MAR, JUN, SEP, DEC

NIBOR-FRA (90-day deposit rates)

Term:	24 months
Expiration months:	MAR, JUN, SEP, DEC

NIBOR-FRA (180-day deposit rates)

Term:	12 months
Expiration months:	MAR, JUN, SEP, DEC

RIBA-Futures

Term:	24 months
Expiration months:	MAR, JUN, SEP, DEC

2STAT-, 5STAT- and 10STAT-futures (Bond-futures)

Term:	6 months
Expiration months:	MAR, JUN, SEP, DEC

3MSTIB-futures (STIBOR-futures)

Term:	36 months
Expiration months:	MAR, JUN, SEP, DEC

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IRS2, IRS5, IRS10 (NOIS-futures)

Term: 6 months  
Expiration months: MAR, JUN, SEP, DEC

MBF (Mortgage Bond Future)

Term: 3 months  
Expiration months: MAR, JUN, SEP, DEC

CIBOR-futures

Term: 24 months  
Expiration months: MAR, JUN, SEP, DEC

The above terms are listed daily

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**BUY-SELL-BACK/SELL-BUY-BACK REPO CONTRACT**

Series Term is specified in the Contract Specification. The table below states when each specific Series will be listed for clearing.

Registration	Maximum Maturity	Eligible securities
Sep 23 – Oct 1	1W	R1046, R1047, R1049, R1050
Week 40	1W	R1046, R1047, R1049, R1050, SHAB/SHYB 1573, 1577
Week 41	1W	R1046, R1047, R1049, 1050, SHAB/SHYB 1573, 1577, SPINTAB 176, 177, 182
Week 42	1W	R1046, R1047, R1049, 1050, SHAB/SHYB 1573, 1577, SPINTAB 176, 177, 182 NBHYP 5524, 5520
Week 43	1M	R1046, R1047, R1049, 1050, SHAB/SHYB 1573, 1577, SPINTAB 176, 177, 182 NBHYP 5524, 5520, SCBC 127
Week 44	1M	R1046, R1047, R1049, 1050, SHAB 1573, 1577, SPINTAB 176, 177, 182 NBHYP 5524, 5520, SCBC 127
Week 45	3M	R1046, R1047, R1049, 1050, SHAB 1573, 1577, SPINTAB 176, 177, 182 NBHYP 5524, 5520, SCBC 127
Week 46	12 M	R1046, R1047, R1049, 1050, SHAB 1573, 1577, SPINTAB 176, 177, 182 NBHYP 5524, 5520, SCBC 127
Week 47	12 M	R 1041, 1045, 1046, 1047, 1049, 1050, 1051, 1052, 1053 RGKT 1010, 1011, 1012, 1101! SHYB 1570,1571, 1572,1573, 1574,1575, 1576, 1577, 1578, 1579 , 1583,1586 SPINTAB 166, 175, 176, 177, 178, 179, 180,181,182, 7170

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		SBAB 123 SCBC 123, 124, 125, 126, 127, 128, 131, 132, 133, 134, 135, 136, 137, 138 SEBB 564, 565, 566, 567, 578, 594, LFBO 501 LFHY 501, 504, 505, 506, 507, 508
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