

NASDAQ OMX is the central market place for fixed income activities in the Nordic region, providing a wide range of products and services to the market. To highlight some, listing, trading and clearing is offered for fixed income products in Sweden, Denmark, Finland, and Iceland. With over 2,400 listed bonds, NASDAQ OMX Stockholm is the largest bond listing venue in the Nordics offering both retail and institutional bond segments. Retail Structured Products account for close to 70 percent of the listings. In 2010 the daily average turnover for retail bonds was 50 MSEK.

STRUCTURED PRODUCTS: No. 1 listing venue in Sweden for structured products

There are over 1,700 listed structured products at NASDAQ OMX Stockholm. These products are also available to the market for trading through our electronic trading system. Trade information and basic bond data is distributed to market data vendors as well as through our website.

Structured products are instruments that are a mix between a traditional financial instrument and a derivative. The derivative part can range from an option in a global index, currencies portfolios, hedge funds and commodities. These “new-generation” instruments all serve a single purpose: finding the ideal product. But more importantly, they are astonishingly diverse instruments that continually push the boundaries for new creative instrument configurations.

Structured products are listed at NASDAQ OMX Stockholm according to Chapter 13 of the Swedish Securities Markets Act (SFS 2007:528). In order to register a structured product, a prospectus that complies with Chapter 5 of the Financial Instruments Trading Act (SFS 1991:980) is required. Prospectuses are approved by the Swedish FSA, Finansinspektionen, but can also be passported in according to the prospectus directive.

Before the product can be listed and traded on the Exchange, the issuer must sign a listing undertaking for bonds with NASDAQ OMX Stockholm. This process is managed by Issuer Surveillance Stockholm. Retail structured products target mainly small or medium sized investors. The issuer of the product has an obligation to quote bid prices on a daily basis. The minimum requirement for listing retail

structured products is SEK 2 million, or the equivalent amount in foreign currency.

Tailor made Products

Tailor Made Products are structured products targeting financial institutions and/or larger retail investors. NASDAQ OMX offers listing of Tailor Made Products that trade in percent of nominal value (price).

The issued products usually contain a mix between a traditional financial instrument and a derivative. The derivative portion can range from an option in a global index, currencies portfolios, hedge funds and commodities. The board lots size is higher for Tailor Made Products compared to the traditional retail market (currently at 100 000 SEK). Issuers usually offer these products to a limited number of select investors.

Contact

For more information about NASDAQ OMX Stockholm Structured Products listing please contact:

Fredrik von Platen

Associate Account Manager
Telephone +46 8 405 6439
E-mail fredrik.vonplaten@nasdaqomx.com

Issuer Surveillance

Telephone +46 8 405 7050
E-mail iss@nasdaqomx.com

Or visit our website:

www.nasdaqomxtrader.com/structuredproducts