

ACCOUNT OPERATOR SERVICES (AOS)

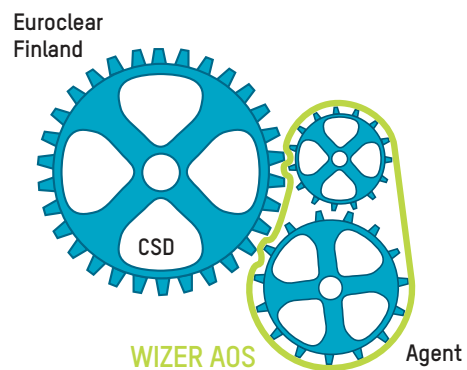
A Service for the Finnish Market

NASDAQ OMX® offers Account Operator Services for financial actors that want assistance in managing securities accounts at the Finnish Central Securities Depository (Euroclear Finland). The services are based on a maintained Account Operator System that the agents themselves use. NASDAQ OMX supervises and instructs its agents regarding their activities and actions in the Account Operator system. NASDAQ OMX acts in the account operator business with its agent customers which are banks and brokerage firms.

CUSTOMIZED SOLUTIONS

NASDAQ OMX offers a comprehensive selection of services to match each agent's specific needs. The Agent Service in Finland includes, among other things:

- > Basic data maintenance and Corporate Action processing
- > A real-time interface between the Account Operator System and the CSD in Finland (Euroclear Finland)
- > Reconciliation at Account Operator level with the CSD in Finland (Euroclear Finland)
- > Customized automated reporting at agent level (agents, end customers) and end of year reporting to tax authorities
- > Helpdesk & support
- > Market-adapted system development
- > Advice and consultancy service on operational issues as well as legal advice concerning registration operations



Delivering Performance and Innovation To You

NASDAQ OMX offers its agents an Account Operator software (WIZER AOS) which is designed as a customer user interface. NASDAQ OMX establishes and maintains information regarding book-entries and book-entry accounts. NASDAQ OMX also manages all payments regarding corporate actions in the Account operator system. NASDAQ OMX takes care of the technical functionality and development of the software. NASDAQ OMX also manages the communication to other account operators and authorities and acts as its agent's trustee in the development of the book-entry system.

More Information

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